



11W 1615

Practitioner's Docket: 2003CH008

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Bernard DANNER

Serial No. 10/575,153

Art Unit: 1615

Filed: April 6, 2006

Examiner: to be assigned

For: Multiple Quaternary Polysiloxanes

Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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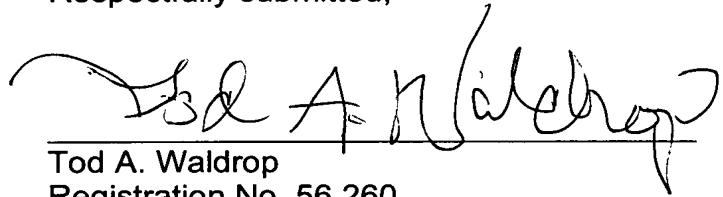
Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449.
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☒ PCT International Search and Preliminary Examination Report for application no. PCT/IB 2004/003220, mail date of 11/23/2004 and 08/23/2005.
  - 1d. ☒ Citations of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Tod A. Waldrop", is written over a horizontal line.

Tod A. Waldrop  
Registration No. 56,260

**CUSTOMER NO. 25,255**

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Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

3

**Complete if Known**

Application Number 10/575,153

Filing Date April 6, 2006

First Named Inventor Danner

Art Unit 1615

Examiner Name TBD

Attorney Docket Number 2003CH008

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation	T <sup>6</sup>
		Country Code <sup>2</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	BA	DE 37 19 086 A	10-27-1988	Goldschmidt	See AD above	<input type="checkbox"/>
	BB	DE 198 17 776	10-28-1999	Wacker Chemie	See CA below	<input type="checkbox"/>
	BC	DE 100 04 321 A	08-09-2001	Wacker Chemie	See CB below	<input type="checkbox"/>
	BD	DE 102 14 290 A	10-09-2003	GE Bayer Silicone	See CC below	<input type="checkbox"/>
	BE	EP 282 720 B1	09-21-1988	Goldschmidt	See AE above	<input type="checkbox"/>
	BF	EP 1 512 391 A	03-09-2000	Kao Corp	English	<input type="checkbox"/>
	BG	JP 09-194335	07-29-1997	Shin Etsu	See CD below	<input type="checkbox"/>
	BH	JP 11-012152	01-19-1999	Toshiba Silicone	See CE below	<input type="checkbox"/>
	BI	WO 00/12053	03-09-2005	Amway	English	<input type="checkbox"/>
	BJ	WO 02/10259 A	02-07-2002	Socket	See AL above	<input type="checkbox"/>
	BK	WO 03/029351 A	04-10-2003	Chrobaczek	English	<input type="checkbox"/>
	BL	WO 03/035721 A1	05-01-2003	Helmrick	English	<input type="checkbox"/>
	BM	WO 03/080007 A	10-02-2003	Elder	English	<input type="checkbox"/>
	BN	WO 2005/035628	04-21-2005	Danner	English	<input type="checkbox"/>
	BO					<input type="checkbox"/>
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	BR					<input type="checkbox"/>
	BS					<input type="checkbox"/>
	BT					<input type="checkbox"/>
	BU					<input type="checkbox"/>
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	BW					<input type="checkbox"/>
	BX					<input type="checkbox"/>

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for Form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/575,153
				Filing Date	April 6, 2006
				First Named Inventor	Danner
				Art Unit	1615
				Examiner Name	TBD
Sheet	3	of	3	Attorney Docket Number	2003CH008

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	English Language Abstract of DE 198 17 776, 10-28-1999	<input type="checkbox"/>
	CB	English Language Abstract of DE 100 04 321 A, 08-09-2001	<input type="checkbox"/>
	CC	English Language Abstract of DE 102 14 290 A, 10-09-2003	<input type="checkbox"/>
	CD	English Language Abstract of JP 09-194335, 07-29-1997	<input type="checkbox"/>
	CE	English Language Abstract of JP 11-012152, 01-19-1999	<input type="checkbox"/>
	CF	PCT Search Report for application no. PCT/IB 2004/003220, mail dated 11/23/2004	<input type="checkbox"/>
	CG	PCT International Preliminary Examination Report for application no. PCT/IB 2004/003220, dated 08/23/2005	<input type="checkbox"/>
	CH	PCT Written Opinion of the International Searching Authority for application No. PCT/IB 2004/003220	<input type="checkbox"/>
	CI	Co-pending US Application 11/487,271, Klug, et al., filed 07/14/2006	<input type="checkbox"/>
	CJ		<input type="checkbox"/>
	CK		<input type="checkbox"/>
	CL		<input type="checkbox"/>
	CM		<input type="checkbox"/>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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